CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 158 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

U.S. Patent No. 6,070,693	US 2,717,596 to Knight et al. ("Knight")	
(the "'693 Patent")	Defendant's Statement ⁵	Plaintiff's Statement
		3M further denies that Knight
		includes "said channel." See
	·	limitation above.
said channel containing a	Knight discloses that its hearing	3M denies that Knight includes,
first acoustic filter and a	protector has a channel containing a	discloses, teaches, discusses,
second acoustic filter, each	first acoustic filter and a second	identifies, suggests, or anticipates
of said first and second	acoustic filter, specifically the first	this limitation.
filters being in	and second constrictions in the	
communication with one of	various embodiments, as depicted	3M admits that Knight includes a
said first and second ends.	and described below:	channel containing a first acoustic
sara mist and second ends.	and described below.	filter, the first filter being in
	→ <i>a</i>	communication with at least one of
	Acoustic Acoustic	the first and second ends.
	o Filter	the first and second ends.
	A STATE OF THE STA	
	100	First R
	Hig. 2. Big. 1.	Acoustic Filter
	Second First End	4 7 1 70
	Acoustic No 112 123	
		Fila. 2. Bilg. 1.
		Second
	Hig. *	End According First End
	Second Second	
	Thin 3 Filter Find	10
	Second Acoustic 10 14	119.4
	Second Acoustic Filter Acoustic Filter	50 Accord 500
	First End	Fille Er
	Aug. o.	Acoustic 15 11 Acoustic
	-92	Second Acoustic Filter
		End First End
	91	Tig. 6.
	Fig. 4. John S. Knight	
	"Plug 10 has an elongated, axial	and the same of th
	bore 14 extending longitudinally	Flig. 4. John S Kright
	therethrough to permit the	[Figure from Defendant's Prior Art
	passage of sound waves of	Statement (annotations added).]
	frequencies encountered in normal	
	conversation, a bore diameter of	However, 3M denies that Knight
	approximately .03 inch in a filter	includes a channel containing a first
	plug of approximately .25 inch in	acoustic filter and a second acousti
	length and weighing in the	filter, each of the first and second
	neighborhood of .005 pound having	•
	been found satisfactory." Col. 2:12-	filters being in communication with one of the first and second ends.
	19 (emphasis added); "Referring	
	1 ' -	Knight contains only one acoustic
	now to Figs. 5 and 6 wherein is illustrated another embodiment of	filter as taught by the '693 patent.
		TO 40 11 11 11 11 11 11 11 11 11 11 11 11 11
	ear protector made in accordance	The "Second Acoustic Filter[(s)],"

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Asserted Claims of U.S. Patent No. 6,070,693		
(the "'693 Patent")	Defendant's Statement ⁵	Plaintiff's Statement
	with the principles of this invention whereby inertia of a filter plug is aided in resisting external forces by frictional engagement between a substantial portion of its lateral surface and the walls of a resilient, tubular casing in which it is housed. The numeral 110 broadly designates an elongated, preferably cylindrical filter plug provided with a longitudinal bore 114 and disposed within an elongated chamber 126 of tubular, cushion mounting structure broadly designated 112 and formed of resilient material. Plug 110 preferably has one end slightly rounded as at 111." Col. 3:14-26 (emphasis added).	labeled by Moldex, are not filters as taught by the '693 Patent. Knight describes these variously as "a central perforation" (see Knight at Col. 2:24, applicable to Figs. 2, 3 and 4), "a clearance opening" (see Knight at Col. 3:49, applicable to Fig.5), and a "flange[]" (see Knight at Col. 3:50, applicable to Fig.6), and not as filters. Knight only describes one filter for each embodiment—a "filter plug" (see Knight at Col. 2:6, applicable to Figs. 2, 3 and 4, and at Col. 3:21, applicable to Figs. 5 and 6; see, also Knight, generally). Therefore, there is only one acoustic filter—the "First Acoustic Filter[(s)]" as labeled
Claim 3		by Moldex.
The hearing protector according to claim 1, wherein said first and second acoustic filters are not identical.	The filters disclosed by Knight are not identical within any given embodiment, as the annotated Figs. 2-6 show below:	3M denies that Knight includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Knight does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3.
	Hig. 3. Hig. 3. Hope of the first and	Furthermore, 3M denies that Knight includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore Knight does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
	second filters are identical within any embodiment.	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit B ⁴ US 2,717,596 to Knight et al. ("Knight")	
(the "'693 Patent")	Defendant's Statement ⁵	Plaintiff's Statement
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Knight discloses that its hearing protector's acoustic filters permit non-linear filtration of sound, as described below: "Plug 10 has an elongated, axial bore 14 extending longitudinally therethrough to permit the passage of sound waves of frequencies encountered in normal conversation, a bore diameter of approximately .03 inch in a filter plug of approximately .25 inch in length and weighing in the neighborhood of .005 pound having been found satisfactory." Col. 2:12-19 (emphasis added).	3M denies that Knight includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Knight does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17. Furthermore, 3M denies that Knight includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Knight does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.

-, INE-FLN Document 47-1 Filed 02/15, 13 Page 161 of 226 U.S. Patent No. 6,070,693

U.S. Patent No. 6,070,693 (the "693 Patent")	Defendant's Statement'	oer et al. ("de Boer") Plaintiff's Statement
Claim 1		1 tuning 5 Suitement
A hearing protector for selectively or automatically	To the extent the preamble is limiting, de Boer discloses a hearing	3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, de Boer does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that de Boer includes, discloses, teaches, discusses,
reducing noises having intensities up to 190 dB, the	protector for selectively or automatically reducing noises	identifies, suggests, or anticipates the preamble.
hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	having intensities up to 190 dB: "FIG. 7 is a graph of the sound damping effect by three filters in dependence on the sound frequency." Col. 3:1-2; Fig. 7: de Boer further discloses a hearing protector being intended to be sealingly inserted into an auditory canal of a user: "The manufacture of a personalized ear protector according to the invention from a hard material is, however, time-consuming because in this case at first a print has to be made of the inner part of the ear of the potential user of the ear protector. By means of this mould the final ear protector is made. As an alternative, the ear protector can	3M admits that de Boer describes a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user. However, 3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.

Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Asserted Claims of U.S. Patent No. 6,070,693		
(the "'693 Patent")	Defendant's Statement	Plaintiff's Statement
(inc. ose 2 atom)	material, for example, silicon, in which case the relatively soft material can assume the desired shape of the concha of the auricle in a single operation." Col. 3:16-25 (emphasis added.	
a cylindrical body having a center, a first end and a second end;	de Boer discloses a cylindrical body having a center, a first end and a second end, as shown in the following annotated depictions of Fig. 1: First End Center Second End FIG. 1 shows an ear protector embodying the invention, which is p[er]sonalized by its specific shape. The ear protector has a duct 6 opening out in a widened part 5 in which the filter 1 is arranged. This filter comprises a fitting piece 2 having a bore 5, in which an insertion piece 3 is arranged, which ensures that the elongate object, preferably a wire 4, is held in place	3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that de Boer includes a cylindrical body having a first end and a second end. First End Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that de Boer includes a cylindrical body having a center as taught by the '693 Patent, which must be located between the first and second acoustic filters. As de Boer has only one filter (see limitation below), it does not have
a channel extending from said first and second ends to said center of said cylindrical body; and	in the bore 5." Col. 3:3-9. de Boer discloses a channel extending from said first and second ends to said center of said cylindrical body, as shown below:	such a center. 3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that de Boer includes a

CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 163 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of	Exhibit C ⁶ IVS A 597 065 to de Poor et al. ("de Poor")	
U.S. Patent No. 6,070,693	US 4,587,965 to de Boer et al. ("de Boer") Defendant's Statement	
(the "'693 Patent")	Defendant's Statement First End Center 3 5 2 5 Restaurated 10 FIG. Second End	channel extending between the first and second ends of the cylindrical body. First End Second End [Figure from Defendant's Prior Art Statement (annotations added).]
		However, 3M denies that de Boer includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that de Boer includes a channel extending from the first and second ends to the center of the cylindrical body. 3M further denies that de Boer includes "said channel." See limitation above.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in communication with one of said first and second ends.	de Boer discloses that its hearing protector has a channel containing a first acoustic filter and a second acoustic filter, specifically the first and second constrictions as depicted and described below:	3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that de Boer includes a channel containing a first acoustic filter, the first filter being in communication with at least one of the first and second ends.

CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 164 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Exhibit C6 Asserted Claims of US 4,587,965 to de Boer et al. ("de Boer") U.S. Patent No. 6,070,693 (the "'693 Patent") Defendant's Statement⁷ Plaintiff's Statement First End Center First Acoustic Center 12 Channel Second Sécond Acoustic G. I Acoustic Filter Filter Second End "FIG. 2 shows a filter 1 embodying the invention which comprises a fitting piece 2 in which an insertion piece 3 is arranged. The bore 5 is made in the fitting piece 2 and prolong[]s in the insertion piece 3. An elongate object, preferably a wire 4 is arranged in part of the bore 5 or in the whole bore 5 so that a part of the bore 5 has a ն՝5 considerably smaller free flow /Center FIG.2 passage." Col. 3:29-36 (emphasis added). First Acoustic First End Filter(s) First Cylindrica Body Channel Center FIG. I 45 /Cénter FIG.2 Second End .Alternatively, one or both of the [Figures from Defendant's Prior Art constrictions described above could Statement (annotations added).] be considered as the first acoustic filter, and the constriction that However, 3M denies that de Boer follows element 1 could then be includes a channel containing a first

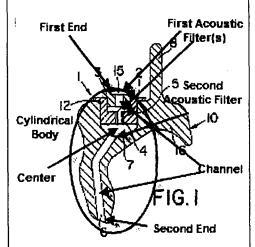
NE-FLN Document 47-1 Filed 02/15/13 Page 165 of 226 **Statement U.S. Patent No. 6,070,693**

Asserted Claims of U.S. Patent No. 6.070.693 (the "'693 Patent")

Exhibit C6

US 4,587,965 to de Boer et al. ("de Boer") Defendant's Statement⁷

considered the second acoustic filter. as shown below:

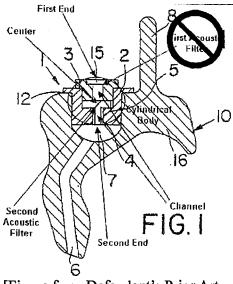


Furthermore, as show above, each of the first and second filters is in communication with one of the first and second ends through "a duct 6 opening out in a widened part 7 in which the filter 1 is arranged." Col. 3:5-6.

Additionally, de Boer discloses how the size of constrictions and length of channels can be altered to create various attenuation effects: "FIG. 6 shows an alternative embodiment of the ear protector of FIG. 1, in which grip 8 and filter 1 are combined to form a holding member 17, in which the grip 8 coincides with the filter 1. An additional advantage of the ear protector of FIG. 6 is that penetration of dirt into the bore 12 can even be more avoided than in the embodiment of FIG. 1, whilst the filter may have a greater length without protruding in a troublesome manner. The lengthening of the filter, especially of the bore of restricted passage has the advantage that the bore may be wider in order to obtain uniform damping in accordance with the formula D=k.(1/0), wherein

Plaintiff's Statement

acoustic filter and a second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. de Boer contains only one acoustic filter as taught by the '693 patent.



[Figure from Defendant's Prior Art Statement (annotations added).]

For the embodiment shown in Fig. 1 of de Boer, the "First Acoustic Filter," as labeled by Moldex, is not a filter as taught by the '693 Patent. de Boer describes this as an "open cavity" in an "insertion piece" (see de Boer at Col. 3:63 and Col. 3:7-8) and not a filter. Therefore, there is only one acoustic filter — the "Second Acoustic Filter" as labeled by Moldex.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit C ⁶ US 4,587,965 to de Boer et al. ("de Boer")	
(the "'693 Patent")	Defendant's Statement ⁷	Plaintiff's Statement
	D =damping 1= length of bore 0 = surface of bore and	3 First Actustic
	k =fixed factor (at a given frequency).	Second Acoustic Filter
	Apart from the insertion of the elongate object 4 into the bore 5, a ceramic or glass plate having very fine pores may be arranged in the cavity 9 of FIG. 2. The free passage	Center 45 FIG.2
	in the bore with the wire could be	[Figure from Defendant's Prior Art
	expressed as a surface size, although the size depends on the	Statement (annotations added).]
	diameter of the bore. A preferred surface of the passage is 0.005 to 0.1 mm ² .	For the embodiment shown in Fig. 2 of de Boer, the "First Acoustic Filter," as labeled by Moldex, is not
	The wire 4 may be made of stainless steel, copper, yarn or a synthetic resin, for example, Nylon. FIG. 7 indicates the result of	a filter as taught by the '693 Patent. de Boer again describes this as an (unlabeled) "open cavity" in an "insertion piece" (see de Boer at
	measurements in accordance with ISO 4869 with sound reducing filters M and L embodying the invention. In FIG. 7 curve "H" relates to a filter without wire in	Col. 3:63 and Col. 3:7-8) and not a filter. Therefore, there again is only one acoustic filter — the "Second Acoustic Filter" as labeled by Moldex.
	bore 5, which had a diameter of 0.33 mm. From the results indicated by the curves of FIG. 7 it appears that an improvement of the damping is obtained by using an ear protector according to the invention." Col.	First End First Acoustic Filter(s) 5 S cond cousts Filte
	4:16-48 (emphasis added); Figs. 6 and 7:	Cylindrical Body 4
	FIG.6	FIG. I Second End [Figure from Defendant's Prior Art Statement (annotations added).]
	¹ In fact de Boer discloses a partially non-cylindrical shape in this alternative. However, as 3M's erroneous contentionts read this limitaiton on the BattlePlug which is	For Moldex's alternative consideration of the embodiment shown in Fig. 1 of de Boer, the "Second Acoustic Filter," as labeled

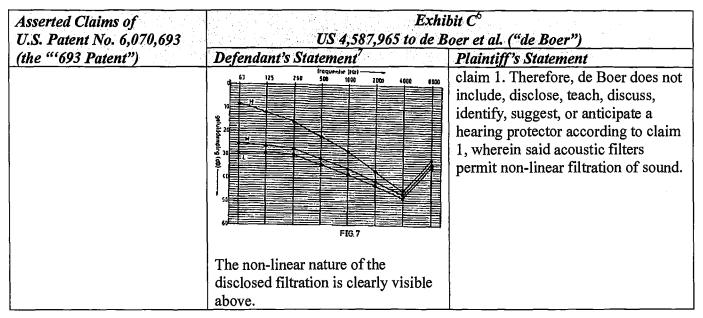
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CASE 0:12-cy-00611 - NE-FLN Document 47-1 Filed 02/15/13 Page 167 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit C ⁶ US 4,587,965 to de Boer et al. ("de Boer")	
(the "'693 Patent")	Defendant's Statement	Plaintiff's Statement
	a non-cylindrical tapered cone, under 3M's erroneous construction, the limitation is met by de Boer.	by Moldex, is not a filter as taught by the '693 Patent. de Boer describes this as a "duct 6 opening out in a widened part 7 in which the filter 1 [Moldex's "First Acoustic Filter"] is arranged" (see de Boer at Col. 3:5-6) and not a filter. Therefore, there is only one acoustic filter—the "First Acoustic Filter" as labeled by Moldex.
Claim 3		
The hearing protector according to claim 1, wherein said first and second acoustic filters are not identical.	None of the filters disclosed by de Boer are identical, as shown in the excerpts from Fig. 1 below:	3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, de Boer does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3. Furthermore, 3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore, de Boer does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	de Boer discloses that its hearing protector's acoustic filters permit non-linear filtration of sound, as described and depicted below: "FIG. 7 is a graph of the sound damping effect by three filters in dependence on the sound frequency." Col. 3:1-2; Fig. 7:	3M denies that de Boer includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, de Boer does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17.
		Furthermore, 3M denies that de Boe includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 169 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit D ⁸ US 2,427,664 to Dunbar et al. ("Dunbar")	
(the "'693 Patent")	Defendant's Statement9	Plaintiff's Statement
Claim 1		
A hearing protector for selectively or automatically reducing noises having	To the extent the preamble is limiting, Dunbar discloses a hearing protector for selectively or	3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Dunbar does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates
intensities up to 190 dB, the hearing protector being intended to be sealingly	automatically reducing noises having intensities up to 190 dB: "The use of hearing guards of	the preamble. 3M admits that Dunbar describes a
inserted into an auditory canal of a user, the hearing protector comprising:	rubber, composition, wax, and cotton has long been customary in an effort to protect the ear mechanism. The use of modern explosives has rendered these inadequate. Attempts have also been made to filter out certain	hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user.
	intense sounds and to seal the ears against high pressures without satisfactory result.	However, 3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for
	Present records and data show that damage does not coincide with excess pressures or duration of pressure alone. Injury in some cases is greater even with the use of ear plugs as the rate of pressure change is increased, even when low maximum pressures are encountered. It, therefore, appears that damage is caused by the rate of rise of pressure which is a shock function.	selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.
	An object of this invention is to provide an ear plug capable of offering sufficient mass impedance to resist sudden pressure changes with a minimum of exposed area	

Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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U.S. Patent No. 6,070,693	US 2,427,664 to Dunbar et al. ("Dunbar")	
(the "'693 Patent")	Defendant's Statement9	Plaintiff's Statement
	and provided with means for	!
	hearing conversation." Col. 1:6-26	
	(emphasis added);	
	"A small perforation or channel 3	
	is provided to extend through the	
	mass designed to pass audible	
	frequency and moderate intensity	
	of speech and being small enough	1
	to prevent high pressure or energy	
	sounds from passing. In so doing	
	the perforated plug acts to dampen	
	sounds or noises of high frequency	
	and will filter sounds of high	1
	intensity and pressure." Col. 2:22-	
	29 (emphasis added).	
	De les Coutles discloses a baseins	
	Dunbar further discloses a hearing	
	protector being intended to be	
	sealingly inserted into an auditory	
	canal of a user: "The mass should be	
	encased in a holder of rubber,	
	neoprene, or any other resilient	
	material famed to fit into the outer	•
	ear canal. It should be provided with	
	resilient sealing flange or flanges 4	
	to prevent irritation and provide a	
	snug fit, and should preferably be	
	provided with a tab 5 to prevent too	
	deep ear penetration as shown in	
	Fig. 1." Col. 2:10-17 (emphasis	
	added).; Fig. 1 (annotated):	
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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 171 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693		
(the "'693 Patent")	Defendant's Statement9	Plaintiff's Statement
a cylindrical body having a center, a first end and a second end;	Dunbar discloses a cylindrical body ¹ having a center, a first end and a second end, as shown in the following annotated depiction of Fig. 1:	3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	Second End Center First End	3M admits that Dunbar includes a cylindrical body having a first end and a second end.
	Cylindrical Body 5	First Eng
	¹ In fact Dunbar discloses a non-	Cylindrical Body 5
	cylindrical tapered cone. However, as 3M's erroneous contentionts read this limitaiton on the BattlePlug	[Figure from Defendant's Prior Art Statement (annotations added).]
	which is also a non-cylindrical tapered cone, under 3M's erroneous construction, the limitation is met by Dunbar.	However, 3M denies that Dunbar includes a cylindrical body having a center as taught by the '693 Patent, which must be located between the first and second acoustic filters. As Dunbar has only one filter (see limitation below), it does not have such a center.
a channel extending from said first and second ends to said center of said cylindrical body; and	Dunbar discloses a channel extending from said first and second ends to said center of said cylindrical body, as shown in the following annotated depiction of	3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	Fig. 1:	3M admits that Dunbar includes a channel extending between the first and second ends of the cylindrical body.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit D ⁸ US 2,427,664 to Dunbar et al. ("Dunbar")	
(the "'693 Patent")	Defendant's Statement	Plaintiff's Statement
	Second End Center First End Channel	Second End First End Chance Cylindrical Body
	Fig. /	Fig. / [Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that Dunbar includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that Dunbar includes a channel extending from the first and second ends to the center of the cylindrical body.
		3M further denies that Dunbar includes "said channel." See limitation above.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in communication with one of said first and second ends.	Dunbar discloses that its hearing protector has a channel containing a first acoustic filter and a second acoustic filter, specifically the first and second constrictions as depicted and described below: Second Center Center First Acoustic Filter Second Acoustic Filter	3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that Dunbar includes a channel containing a first acoustic filter, the first filter being in communication with at least one of the first and second ends.
	"A small perforation or channel 3	

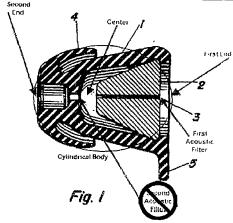
Asserted Claims of U.S. Patent No. 6,070,693 (the "693 Patent") Exhibit D⁸

US 2,427,664 to Dunbar et al. ("Dunbar")

Defendant's Statement

is provided to extend through the mass designed to pass audible frequency and moderate intensity of speech and being small enough to prevent high pressure or energy sounds from passing. In so doing the perforated plug acts to dampen sounds or noises of high frequency and will filter sounds of high intensity and pressure. The filtering protection of the perforation or tube 3 is a function of length and diameter of opening." Col. 2:22-32 (emphasis added).

As shown above, each of the first and second filters is in communication with one of the first and second ends such that sound can pass from outside the plug to the eardrum, after being selectively attenuated as described above. Plaintiff's Statement



[Figure from Defendant's Prior Art Statement (annotations added).]

However, 3M denies that Dunbar includes a channel containing a first acoustic filter and a second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. Dunbar contains only one acoustic filter as taught by the '693 patent.

The "Second Acoustic Filter," as labeled by Moldex, is not a filter as taught by the '693 Patent. Dunbar makes no mention of this portion and teaches only that the portion labeled by Moldex as the "First Acoustic Filter" is a filter (see Dunbar at Col. 2:30 and generally). Therefore, there is only one acoustic filter — the "Second Acoustic Filter" as labeled by Moldex.

Claim 3

The hearing protector according to claim 1, wherein said first and second acoustic filters are not identical.

The filters disclosed by Dunbar are not identical, as shown in the excerpts from Fig. 1 below:



3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Dunbar does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3.

Furthermore, 3M denies that Dunbar

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 174 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit D ⁸ 693 US 2,427,664 to Dunbar et al. ("Dunbar")	
(the "'693 Patent")	Defendant's Statement?	Plaintiff's Statement
		includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore, Dunbar does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Dunbar discloses that its hearing protector's acoustic filters permit non-linear filtration of sound, as described below: "The use of hearing guards of rubber, composition, wax, and cotton has long been customary in an effort to protect the ear mechanism. The use of modern explosives has rendered these inadequate. Attempts have also been made to filter out certain intense sounds and to seal the ears against high pressures without satisfactory result. Present records and data show that damage does not coincide with excess pressures or duration of pressure alone. Injury in some cases is greater even with the use of ear plugs as the rate of pressure change is increased, even when low maximum pressures are encountered. It, therefore, appears that damage is caused by the rate of rise of pressure which is a shock function.	3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Dunbar does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17. Furthermore, 3M denies that Dunbar includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Dunbar does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.
	An object of this invention is to provide an ear plug capable of offering sufficient mass impedance to resist sudden pressure changes with a minimum of exposed area and provided with means for	

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CASE 0:12-cv-00611-uNE-FLN Document 47-1 Filed 02/19/13 Page 175 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit D ⁸ US 2,427,664 to Dunbar et al. ("Dunbar")	
(the "'693 Patent")	Defendant's Statement	Plaintiff's Statement
	hearing conversation." Col. 1:6-26 (emphasis added);	
	"A small perforation or channel 3 is provided to extend through the mass designed to pass audible	
	frequency and moderate intensity	
	of speech and being small enough	
	to prevent high pressure or energy	
	sounds from passing. In so doing	
•	the perforated plug acts to dampen	
	sounds or noises of high frequency	
	and will filter sounds of high	
	intensity and pressure." Col. 2:22-	
	29 (emphasis added).	

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 176 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit E ¹⁰ US 3,565,069 to Miller et al. ("Miller")	
(the "'693 Patent")	Defendant's Statement ¹¹	Plaintiff's Statement
Claim 1		
A hearing protector for selectively or automatically	To the extent the preamble is limiting, Miller discloses a hearing	3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Miller does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that Miller includes, discloses, teaches, discusses,
reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	protector for selectively or automatically reducing noises having intensities up to 190 dB: "In general, there is provided an acoustical filter device characterized by a filter element which serves to screen out substantially all noise above a predetermined level while permitting sound below such level to pass therethrough without deleterious loss." Col. 1:17-21 (emphasis added); "Accordingly, it has been observed that, when wearing the acoustical assembly 11, the report of a shotgun is minimized whereby only that portion of the sound generated by the firing of the	identifies, suggests, or anticipates the preamble. 3M admits that Miller describes a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user. However, 3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into
	shotgun is transmitted to the listener which lies below the predetermined cutoff level. Thus, in certain circumstances, such as in the environment of extremely high noise levels as found in and about airports in close proximity to jet engine operation and the like, the above construction may permit the passage of enough higher noise levels, for example, up to a level on the order of 90 or 95 decibels that, if these are objectionable, a	an auditory canal of a user and including all of the elements listed in subsequent limitations below.

Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Asserted Claims of U.S. Patent No. 6,070,693		bit E ¹⁰ iller et al. ("Miller")
(the "'693 Patent")	Defendant's Statement ¹¹	Plaintiff's Statement
	reduction in the noise level can be	
	achieved by further increasing the	
	ratio of the diameter of chamber	
	23 with respect to the diameter of	
	vent 24. Thus, for use under such	
	circumstances, a ratio on the order	
	of seven to one has been observed	
	to screen out substantially all noise	
	levels above 80 decibels. Under	
	these extreme circumstances some	
	reduction in the transmission of	
	those noise levels below 80 decibels	
	may be experienced which could, for	
	example, require people in	
	conversation to speak somewhat	
	more loudly to be fully heard." Col.	
	3:8-32 (emphasis added).	
	5.0-52 (cimpilasis added).	
	To the extent the preamble is	
	limiting, Miller discloses a hearing	
	protector being intended to be	
	1 -	
	sealingly inserted into an auditory	
	canal of a user, such as through	
	"body surface 12" as described and	
	depicted below: "While being	
	formed in the general nature of an	
	"ear plug" in the sense that it is a	
	body of material which can be	
	carried within the ear, the form of	
	body 12 provides exterior surfaces	
	which conform in a closely fitting	
	relation to the canal surfaces to	
	provide an acoustically sealed	
	interface around body 12. Thus, no	
	sound will be permitted to pass	
	around the exterior surface of body	
	12 to enter canal 13." Col. 1:70-2:2	
	(emphasis added); Fig. 1	
	(annotations added):	
	14 21 21 21 21 21	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhib US 3,565,069 to Mi	
(the "'693 Patent")	Defendant's Statement ^{II}	Plaintiff's Statement
a cylindrical body having a center, a first end and a second end;	Miller discloses a hearing protector with a cylindrical body having a center, a first end and a second end, as shown in the annotated Fig. 1 below:	3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	Second End Center First End	3M admits that Miller includes a cylindrical body having a first end and a second end.
	·	[Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that Miller includes a cylindrical body having a center as taught by the '693 Patent,
		which must be located between the first and second acoustic filters. As Miller has only one filter (see limitation below), it does not have such a center.
a channel extending from said first and second ends to said center of said cylindrical body; and	Miller discloses a hearing protector with a channel extending from the first and second ends to the center of the cylindrical body ¹ as shown in the annotated Fig. 1 below:	3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	14 Channel 22 21 21 9	3M admits that Miller includes a channel extending between the first and second ends of the cylindrical body.
	In fact Millerr discloses a non-cylindrical tapered cone in portions. However, as 3M's erroneous contentionts read this limitaiton on the BattlePlug which is also a non-cylindrical tapered cone, under 3M's	First End [Figure from Defendant's Prior Art Statement (annotations added).]

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Asserted Claims of Exhi U.S. Patent No. 6,070,693 US 3,565,069 to M		it E ¹⁰ ller et al. ("Miller")
(the "'693 Patent")	Defendant's Statement ^{II}	Plaintiff's Statement
	erroneous construction, the limitation is met by Miller.	However, 3M denies that Miller includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that Miller includes a channel extending from the first and second ends to the center of the cylindrical body.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in communication with one of said first and second ends.	Miller discloses a channel containing a first acoustical filter and a second acoustical filter, through its discussion of multiple constrictions to achieve acoustical filtration effects: "From inspection of the drawing, it will be readily evident that passageway 19 is in open communication between ear canal 13 and the outside surroundings. The incoming sound waves next encounter a somewhat reduced cylindrical resonant chamber 23 which cooperates with a much smaller vent passage 24. At the junction formed at the transition between chamber 23 and vent 24, the end wall surface 26 is abruptly diminished to the restricting diameter of vent 24 whereby incoming sound waves serve to develop an increasing pressure caused by the constriction of the relatively small diameter vent 24 with respect to the relatively large diameter of chamber 23." Col. 2:36-47 (emphasis added); Fig 1 (annotations added):	3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that Miller includes a channel containing a first acoustic filter, the first filter being in communication with at least one of the first and second ends. [Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that Miller includes a channel containing a first acoustic filter and a second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. Miller contains only one acoustic filter as taught by the '693 patent. The "First Filter," as labeled by Moldex, is not a filter as taught by the '693 Patent. Miller describes this as a "relatively large cylindrical recess" (see Miller at Col. 2:33-34) and not a filter. Therefore, there is only one acoustic filter—the
	Miller further discloses each of the first and second filters are in	"Second Acoustic Filter" as labeled

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit E ¹⁰ US 3,565,069 to Miller et al. ("Miller")	
the "693 Patent")	Defendant's Statement ¹¹	Plaintiff's Statement
ino opo z atom)	communication with one of said first and second ends, as described and	by Moldex.
	depicted below: "From inspection	3M further denies that Miller
	of the drawing, it will be readily evident that passageway 19 is in	includes "said channel." See limitation above.
	open communication between ear canal 13 and the outside	
	surroundings. The incoming sound	
	waves next encounter a somewhat reduced cylindrical resonant	
	chamber 23 which cooperates with a	
	much smaller vent passage 24. At the junction formed at the transition	
	between chamber 23 and vent 24,	
	the end wall surface 26 is abruptly diminished to the restricting	
	diameter of vent 24 whereby incoming sound waves serve to	
	develop an increasing pressure	
	caused by the constriction of the relatively small diameter vent 24	
	with respect to the relatively large	
	diameter of chamber 23." Col. 2:36-47 (emphasis added); Fig 1	
	(annotations added):	
	/// Second	
	Fill 22 22 21	
	Second End Second End First End	
Claim 3	Second End Center First End	
The hearing protector according to claim 1,	As depicted and described below, Miller discloses acoustic filters that	3M denies that Miller includes, discloses, teaches, discusses,
wherein said first and second	are not identical, specifically sound	identifies, suggests, or anticipates
coustic filters are not	passage constrictions that are not	claim 3, which depends on claim 1, for at least the reasons stated in
dentical.	identical, for example as depicted in Fig. 1 below (annotations added):	connection with claim 1 above.
		Therefore, Miller does not qualify
		under 35 U.S.C. 102 as invalidating prior art for claim 3.
		Furthermore, 3M denies that Miller
		includes, discloses, teaches, discusses, identifies, suggests, or

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit E ¹⁰ US 3,565,069 to Miller et al. ("Miller")	
(the "'693 Patent")	Defendant's Statement ¹¹	Plaintiff's Statement
	Second End Center First End	anticipates the first and second acoustic filters of claim 1. Therefore, Miller does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.

Claim 17

The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.

Miller discloses that its hearing protector's acoustic filters permit non-linear filtration of sound, both with respect to frequency and Decibel level, as described further below: "In general, there is provided an acoustical filter device characterized by a filter element which serves to screen out substantially all noise above a predetermined level while permitting sound below such level to pass therethrough without deleterious loss." Col. 1:17-21 (emphasis added);

"The length of chamber 23 is tuned to pass a frequency band on the order of 250 to 4,000 cycles per second as well as the first three harmonics thereof. For example, chamber 23 can be on the order of 3 millimeters in length" Col. 2:48-52 (emphasis added);

"Thus, the foregoing ratio of diameters between chamber 23 and vent 24 serves to screen out noise levels above a predetermined level of noise such as on the order of eighty decibels. This type of device, therefore, is suitable for most industrial usages, such as machine shops and the like, whereby high noise levels can be expected to be

3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Miller does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17.

Furthermore, 3M denies that Miller includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Miller does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 182 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit E ¹⁰ US 3,565,069 to Miller et al. ("Miller")	
(the "'693 Patent")	Defendant's Statement ¹¹	Plaintiff's Statement
	experienced." Col. 2:58-63	
	(emphasis added); "From the	İ
•	foregoing, it will be readily evident	
	that there has been provided an	
	acoustical filter device whereby a	
	party wearing the filters in each	
	ear can conduct a normal	
	conversation even in the presence	
	of highly objectionable sound."	
	Col. 3:53-56 (emphasis added).	

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NE-FLN Document 47-1 Filed 02/19/13 Page 183 of 226 U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	Defendant's Statement 13	Plaintiff's Statement
Claim 1		
Summary:		3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Kuniaki does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1.
A hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	To the extent the preamble is limiting, Kuniaki discloses a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB: "(Purpose) Accurately transmit information in various noisy environments by selectively permitting the passage of only the necessary information and voice in various noisy environments. (Constitution) Provide a sound channel pathway 2 that is open on at least the sound collecting side in an ear plug 1 and form a spreading space portion 3 that spreads the pathway area in several stages within this sound channel pathway 2, or provide a sound channel pathway hole 4 that is open on at least the sound collecting side in an ear plug 1 and install a filter unit 5 whose interior serves as the sound channel pathway 2 in all or a portion of this sound channel pathway hole 4 as well as forming a spreading space portion 3 that spreads the	3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates the preamble. 3M admits that Kuniaki describes a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user. However, 3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.

Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.

From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	pathway area in several stages within this sound channel pathway 2 of filter unit 5." Translation (emphasis added). To the extent the preamble is limiting, Kuniaki also discloses a hearing protector being intended to be sealingly inserted into an auditory canal of a user, for example as shown below:	Plaintiff's Statement
a cylindrical body having a	Kuniaki discloses a cylindrical body	3M denies that Kuniaki includes,
center, a first end and a	having a center, a first end and a	discloses, teaches, discusses,
second end;	second end as shown and described below.	identifies, suggests, or anticipates this limitation.
	"(CONSTITUTION) Provide a	3M admits that the embodiment
	sound channel pathway 2 that is	shown in Fig. 1 of Kuniaki includes
	open on at least the sound collecting	a cylindrical body having a first end
	side in an ear plug 1 and form a	and a second end.

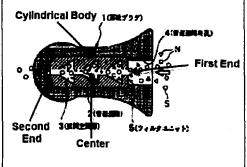
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Asserted Claims of U.S. Patent No. 6,070,693 (the "'693 Patent") Exhibit F¹²
JP 06-343659 to Kuniaki et al. ("Kuniaki")

Defendant's Statement 13

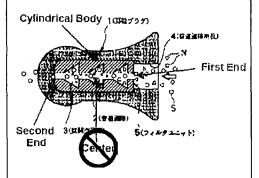
spreading space portion 3 that spreads the pathway area in several stages within this sound channel pathway 2, or provide a sound channel pathway hole 4 that is open on at least the sound collecting side in an ear plug 1 and install a filter unit 5 whose interior serves as the sound channel pathway 2 in all or a portion of this sound channel pathway hole 4 as well as forming a spreading space portion 3 that spreads the pathway area in several stages within this sound channel pathway 2 of filter unit 5." Translation.

"(0010) Furthermore, as long as filter unit 5 has a sound channel pathway 2 provided in several stages on the interior thereof, it can be any appropriately chosen shape, such as cylindrical, or a shape whereby a groove serving as sound channel pathway 2 and spreading space portion 3 is cut into one side of a pair of filter plates, on a portion of which is formed an entry opening or exit opening, and the pair of filter plates is affixed across a partition plate having a continuous hole through a portion thereof, etc." Translation (emphasis added).



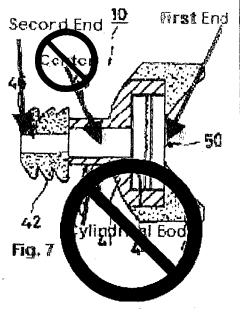
"(0019) © Embodiment 2 Figure 7 is a diagram illustrating embodiment 2 of an ear plug filter to which this invention has been applied. In this

Plaintiff's Statement



[Figure from Defendant's Prior Art Statement (annotations added).]

However, 3M denies that the embodiment shown in Fig. 1 of Kuniaki includes a cylindrical body having a center as taught by the '693 Patent, which must be located between the first and second acoustic filters. As the embodiment shown in Fig. 1 of Kuniaki has only one filter (see limitation below), it does not have such a center.



[Figure from Defendant's Prior Art Statement (annotations added).]

3M denies that the embodiment shown in Fig. 7 of Kuniaki includes

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Asserted Claims of U.S. Patent No. 6,070,693		bit F ¹² iaki et al. ("Kuniaki")
(the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
	drawing, the basic configuration of	a cylindrical body. The shape shown
	ear plug filter 10 consists of filter	in Fig. 7 is clearly not cylindrical.
	unit 50 mounted into filter	
	attachment cavity portion 44 of ear	Furthermore, 3M denies that the
	plug 40.	"Center" as labeled by Moldex for
	1	the embodiment shown in Fig. 7 of
	(0020) In ear plug 40 in this	Kuniaki is a center as taught by the
	embodiment, an ear insertion portion	'693 Patent, which must be located
	42 made of elastic material is	between the first and second
4	provided on the end of the small-	acoustic filters.
	diameter portion of a plug body 41	acoustic inters.
	consisting of a large-diameter	
	portion and a small-diameter	
	portion, and a sound collection	
	portion 43 that serves to collect	
	sound towards the entry opening 54	
	of filter unit 50 is provided on the	
	large-diameter portion of plug body	
·	41. A filter attachment cavity portion	
	44 is formed on the large-diameter	
	portion of the aforesaid plug body	
	41, with a communicating hole 45	
	being formed from the floor of this	
	filter attachment cavity portion 44 to	
	the small-diameter portion, and a	
	communicating hole 46 being	
	provided on the aforesaid ear]
	insertion portion 42. Moreover, this	
	filter attachment cavity portion 44	
	and communicating hole 45, 46	
	correspond to the sound channel	
	pathway hole in this invention.	
	(0001) E	·
	(0021) Furthermore, as shown in	
	particular in Figure 8, the aforesaid	
	filter unit 50 is comprised of a pair	
	of round filter plates 51, 52 and a	
	round partition plate 53	·
	interposed between these filter	
	plates 51, 52. In this embodiment,	
	the fist filter plate 51 situated by the	
	sound collection portion 43 has an	·
	entry opening 54 on the central	
	portion thereof, with a sound	
	channel pathway groove 55 that	
	communicates with the entry	
	opening 54 being cut into one	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
(550 550 1 40055)	surface of this partition plate 53, the	Tearning & Bearing
	sound channel pathway being	
	established between this sound	
	channel pathway groove 55 and	
	1	
	partition plate 53, and as shown in	
	Fig. 9, three spreading space	
	portions 56 that spread the sound	
	channel pathway area being formed	
	in sound channel pathway groove	
	55, which spreading space portion	
	56 is provided in a shape whereby	
	the pathway area is abruptly	
	expanded at entry opening 54 and	
	then gradually shrunk.	
	Additionally, a through-hole 58 is	
	provided in a portion of partition	
	plate 53 corresponding to terminal	
	round groove 57 of the sound	
	channel pathway groove 55 of this	
	first filter plate 51. Furthermore, in	
	the central portion of the second	
	filter plate 52 is an exit opening 60	
	that communicates with the	
	aforesaid communicating hole 45, a	
	sound channel pathway groove 61	
	that communicates with the	
	aforesaid through-hole 58 and exit	
	opening 60 being carved into one	
	surface of this partition plate 53, the	
•	sound channel pathway being	
	established between this sound	
	channel pathway groove 61 and	
	partition plate 53, and three	
	spreading space portions 62 that	
	spread the sound channel pathway	
	area being formed in sound channel	
	pathway groove 61, as in the case of	
	the first filter plate 51, whereas in	
	contrast, unlike the first filter plate	
	51, this spreading space portion 62 is	
	provided in a shape whereby the	ľ
	pathway area is abruptly expanded at	
	the terminal round groove 63	
	corresponding to through-hole 58 of	
	sound channel pathway groove 61	
	and then gradually shrunk	
	towards exit opening 60.	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
	(0022) Hence, according to the ear plug filter in this embodiment, because a total of six spreading space portions 56, 62 are formed in the sound channel pathway of filter unit 50, in addition to solely sound in the voice band being clearly heard while noise components in the high-frequency band are reduced, as in the case of embodiment 1, according to this embodiment, the thickness dimension of filter unit 50 can be reduced in the central axis direction of ear plug 40, which makes it possible to make the dimensions of the ear plug 40 in the central axis dimension more compact." Translation (emphasis added).	
a channel extending from said first and second ends to said center of said cylindrical body; and	Kuniaki discloses a channel extending from the first and second ends to the center of the cylindrical body: "A filter unit 5 whose inside serves as sound passage 2 is disposed in a part or the whole of this hole 4, and spreading space 3 whose passage area widens is formed in a plurality of steps in the sound passage 2 of this filter unit 5."	3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that the embodiment shown in Fig. 1 of Kuniaki includes a channel extending between the first and second ends of the cylindrical body.
	"(0021) Furthermore, as shown in particular in Figure 8, the aforesaid filter unit 50 is comprised of a pair of round filter plates 51, 52 and a	[Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that the

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round partition plate 53 interposed between these filter plates 51, 52. In this embodiment, the fist filter plate 51 situated by the sound collection portion 43 has an entry opening 54 on the central portion thereof, with a sound channel pathway groove 55 that communicates with the entry opening 54 being cut into one surface of this partition plate 53, the sound channel pathway being established between this sound channel pathway being established between this sound channel pathway groove 55 and partition plate 53, and as shown in Fig. 9, three spreading space portions 56 that spread the sound channel pathway area being formed in sound channel pathway groove 55, which spreading space portion 56 is provided in a shape whereby the pathway area is abruptly expanded at entry opening 54 and then gradually shrunk. Additionally, a through-hole 58 is provided in a portion of partition plate 53 corresponding to terminal round groove 57 of the sound channel pathway groove 55 of this first filter plate 51. Furthermore, in the central portion of the second filter plate 52 is an exit opening 60 that communicates with the aforesaid communicating hole 45, a sound channel pathway groove 61 that communicates with the aforesaid communicates wit	Asserted Claims of U.S. Patent No. 6,070,693		
round partition plate 53 interposed between these filter plates 51, 52. In this embodiment, the fist filter plate 51 situated by the sound collection portion 43 has an entry opening 54 on the central portion thereof, with a sound channel pathway groove 55 that communicates with the entry opening 54 being cut into one surface of this partition plate 53, and hannel pathway being established between this sound channel pathway groove 55 and partition plate 53, and as shown in Fig. 9, three spreading space portions 56 that spread the sound channel pathway groove 55, which spreading space portion 56 is provided in a portion of partition plate 53 and then gradually shrunk. Additionally, a through-hole 58 is provided in a portion of partition plate 53 and then gradually shrunk. Additionally, a through-hole 58 is provided in a portion of partition plate 53 corresponding to terminal round groove 57 of the sound channel pathway groove 61 that communicates with the aforesaid communicating hole 45, a sound channel pathway groove 61 that communicates with the aforesaid through-hole 58 and exit opening 60 being carved into one surface of this partition plate 53, the sound channel pathway groove 61 and partition plate 53, and three spreading space portions 60 being carved into one surface of this partition plate 53, the sound channel pathway agroove 61 and partition plate 53, and three spreading space portions 62 that spread the sound channel pathway groove 61, as in the			
pathway area being formed in sound channel pathway groove 61, as in the	U.S. Patent No. 6,070,693	round partition plate 53 interposed between these filter plates 51, 52. In this embodiment, the fist filter plate 51 situated by the sound collection portion 43 has an entry opening 54 on the central portion thereof, with a sound channel pathway groove 55 that communicates with the entry opening 54 being cut into one surface of this partition plate 53, the sound channel pathway being established between this sound channel pathway groove 55 and partition plate 53, and as shown in Fig. 9, three spreading space portions 56 that spread the sound channel pathway area being formed in sound channel pathway area being formed in sound channel pathway groove 55, which spreading space portion 56 is provided in a shape whereby the pathway area is abruptly expanded at entry opening 54 and then gradually shrunk. Additionally, a through-hole 58 is provided in a portion of partition plate 53 corresponding to terminal round groove 57 of the sound channel pathway groove 55 of this first filter plate 51. Furthermore, in the central portion of the second filter plate 52 is an exit opening 60 that communicates with the aforesaid communicates with the aforesaid through-hole 58 and exit opening 60 being carved into one surface of this partition plate 53, the sound channel pathway groove 61 that communicates with the aforesaid through-hole 58 and exit opening 60 being carved into one surface of this partition plate 53, the sound channel pathway being established between this sound channel pathway groove 61 and partition plate 53, and	embodiment shown in Fig. 1 of Kuniaki includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that that the embodiment shown in Fig. 1 of Kuniaki includes a channel extending from the first and second ends to the center of the cylindrical body. Second Find Cylindrical Body Fig. 7 [Figure from Defendant's Prior Art Statement (annotations added).] 3M denies that that the embodiment shown in Fig. 7 of Kuniaki includes a cylindrical body or has a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that that the embodiment shown in Fig. 7 of Kuniaki includes a channel extending from the first and second ends to the center of the
Lease of the first filter plate N.		pathway area being formed in sound	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhib JP 06-343659 to Kun	
(the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
··	filter plate 51, this spreading space portion 62 is provided in a shape whereby the pathway area is abruptly expanded at the terminal round groove 63 corresponding to through-hole 58 of sound channel pathway groove 61 and then gradually shrunk towards exit opening 60." Translation.	
	Second for the first leg Signs	
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in	Kuniaki discloses a channel containing a first acoustic filter and a second acoustic filter, specifically the channel constrictions described and depicted below: "To achieve	3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
communication with one of said first and second ends.	transmission of information certainly in different noise environments by establishing selective passage of the information and/or voice necessary under the applicable noise environmental condition."	3M admits that the embodiment shown in Fig. 1 of Kuniaki includes a channel containing a first acoustic filter, the first filter being in communication with at least one of the first and second ends.
	"A filter unit 5 whose inside serves as sound passage 2 is disposed in a part or the whole of this hole 4, and spreading space 3 whose passage area widens is formed in a plurality of steps in the sound passage 2 of this filter unit 5."	Second Titler(s) 1(EEF797) First Ner(s) AIDEN V
	Second Fifter(s) (GRET'99) First Fifter(s)	Filter Unit [Figure from Defendant's Prior Art Statement (annotations added).]
	"(0019) © Embodiment 2 Figure 7 is a diagram illustrating embodiment 2 of an ear plug filter to	However, 3M denies that the embodiment shown in Fig. 1 of Kuniaki includes a channel containing a first acoustic filter and a second acoustic filter, each of the first and second filters being in
	which this invention has been applied. In this drawing, the basic	communication with one of the first and second ends. The embodiment

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Asserted Claims of U.S. Patent No. 6,070,693		bit F ¹² iaki et al. ("Kuniaki")
U.S. Patent No. 0,0/0,093 (the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
	configuration of ear plug filter 10 consists of filter unit 50 mounted into filter attachment cavity portion 44 of ear plug 40.	shown in Fig. 1 of Kuniaki contains only one acoustic filter as taught by the '693 patent, the "Filter Unit." See, e.g., Kuniaki at Constitution, Abstract and (0007) at pp. 1-3 of the
	(0020) In ear plug 40 in this embodiment, an ear insertion portion 42 made of elastic material is provided on the end of the small-diameter portion of a plug body 41 consisting of a large-diameter portion and a small-diameter	translation. 3M further denies that the embodiment shown in Fig. 1 of Kuniaki includes "said channel." Se limitation above.
	portion, and a sound collection portion 43 that serves to collect sound towards the entry opening 54 of filter unit 50 is provided on the large-diameter portion of plug body 41. A filter attachment cavity portion	Se ond 10 First Filter(:
	44 is formed on the large-diameter portion of the aforesaid plug body 41, with a communicating hole 45 being formed from the floor of this filter attachment cavity portion 44 to the small-diameter portion, and a communicating hole 46 being	50 Channel
	provided on the aforesaid ear insertion portion 42. Moreover, this filter attachment cavity portion 44 and communicating hole 45, 46 correspond to the sound channel pathway hole in this invention.	[Figure from Defendant's Prior Art Statement (annotations added).] 3M denies that the embodiment shown in Fig. 7 of Kuniaki include a channel containing a first acoustifilter and a second acoustic filter,
	(0021) Furthermore, as shown in particular in Figure 8, the aforesaid filter unit 50 is comprised of a pair of round filter plates 51, 52 and a round partition plate 53 interposed between these filter plates 51, 52. In	each of the first and second filters being in communication with one of the first and second ends. The embodiment shown in Fig. 7 of Kuniaki contains only one acoustic filter as taught by the '693 patent.
	this embodiment, the fist filter plate 51 situated by the sound collection portion 43 has an entry opening 54 on the central portion thereof, with a sound channel pathway groove 55 that communicates with the entry opening 54 being cut into one surface of this partition plate 53, the sound channel pathway being	The "Second Filters," as labeled by Moldex, is not a filter as taught by the '693 Patent. Kuniaki describes this as a "communicating hole" (see Kuniaki (0020) at p. 4 of the translation) and not a filter. Therefore, there is only one acoust filter — the "First Acoustic Filters as labeled by Moldex.

as labeled by Moldex.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	Defendant's Statement 13	Plaintiff's Statement
	established between this sound	
	channel pathway groove 55 and	3M further denies that the
	partition plate 53, and as shown in	embodiment shown in Fig. 7 of
	Fig. 9, three spreading space	Kuniaki includes "said channel." Se
	portions 56 that spread the sound	limitation above.
	channel pathway area being formed	inimation above.
	in sound channel pathway groove	
	55, which spreading space portion	
	56 is provided in a shape whereby	
	the pathway area is abruptly	
	1	
	expanded at entry opening 54 and	
	then gradually shrunk.	
	Additionally, a through-hole 58 is	
	provided in a portion of partition	
	plate 53 corresponding to terminal	
	round groove 57 of the sound	
	channel pathway groove 55 of this	
	first filter plate 51. Furthermore, in	
	the central portion of the second	
	filter plate 52 is an exit opening 60	
	that communicates with the	
	aforesaid communicating hole 45,	:
	a sound channel pathway groove	
	61 that communicates with the	'
	aforesaid through-hole 58 and exit	
	opening 60 being carved into one	
	surface of this partition plate 53, the	
	sound channel pathway being	
	established between this sound	
	channel pathway groove 61 and	
	partition plate 53, and three	
	spreading space portions 62 that	
	spread the sound channel pathway	
	area being formed in sound channel	
	pathway groove 61, as in the case of	
	the first filter plate 51, whereas in	
	contrast, unlike the first filter plate	
	51, this spreading space portion 62 is	
	provided in a shape whereby the	
	pathway area is abruptly expanded at	
	the terminal round groove 63	
	corresponding to throughhole 58 of	
	sound channel pathway groove 61	·
	and then gradually shrunk towards	
	exit opening 60.	
	(0022) Hence, according to the ear	

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U.S. Patent No. 6,070,693	JP 06-343659 to Kun	
the "'693 Patent")	Defendant's Statement 13	Plaintiff's Statement
	plug filter in this embodiment,	
	because a total of six spreading	
	space portions 56, 62 are formed in	
	the sound channel pathway of filter	
	unit 50, in addition to solely sound	
	in the voice band being clearly heard	
	while noise components in the high-	
	frequency band are reduced, as in	
	the case of embodiment 1, according	
•	to this embodiment, the thickness	
	dimension of filter unit 50 can be	
	reduced in the central axis direction	
•	of ear plug 40, which makes it	
	possible to make the dimensions of	
	the ear plug 40 in the central axis	
	dimension more compact."	
	Translation (emphasis added).	
	Translation (ompitasis added).	
	2((***********************************	
	Second 3 First Piler(s) Second First Piler(s) Figure 1 First Piler(s) Figure 2 First Piler(s) Figure 3 First Piler(s) Fi	
	As depicted and described above	
	each of the first and second filters	
	being in communication with one of	
	the first and second ends is depicted	
	by virtue of the channel.	}
Claim 3	1 by virtue of the officiality.	
The hearing protector	As depicted and described below,	3M denies that Kuniaki includes,
according to claim 1,	Kuniaki discloses acoustic filters	discloses, teaches, discusses,
wherein said first and second	that are not identical, specifically	identifies, suggests, or anticipates
acoustic filters are not	sound passage constrictions that are	claim 3, which depends on claim 1
dentical.	not identical, for example as depicted below:	for at least the reasons stated in connection with claim 1 above.
		Therefore, Kuniaki does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3.

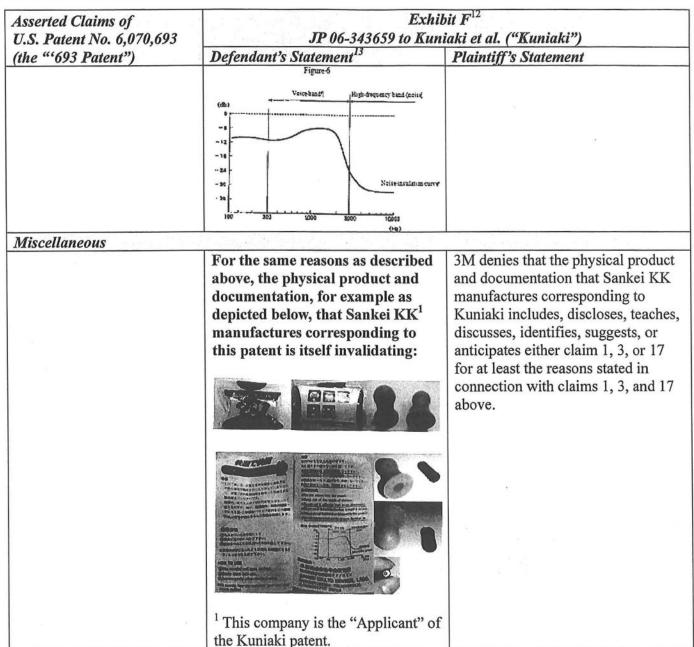
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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit F ¹² JP 06-343659 to Kuniaki et al. ("Kuniaki")	
(the "'693 Patent")	Defendant's Statement ¹³	Plaintiff's Statement
(ine U73 Faient*)	there is a second from the sec	Furthermore, 3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore, Kuniaki does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Kuniaki discloses the hearing protector according to claim 1, wherein the acoustic filters permit non-linear filtration of sound: "(Purpose) Accurately transmit information in various noisy environments by selectively permitting the passage of only the necessary information and voice in various noisy environments." Translation. "(0018) Additionally, upon investigating the noise-canceling properties of the ear plug filter in this embodiment, the results shown in Figure 6 were obtained. According to this drawing, the attenuation rate of noise component in the high-frequency band is extremely high, but the attenuation rate of sound in the voice band is low, so according to the ear plug filter in this embodiment, sound in the voice band alone will be clearly heard while noise component in the high-frequency band will be reduced." Translation.	3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Kuniaki does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17. Furthermore, 3M denies that Kuniaki includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Kuniaki does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit G ¹⁴ US 6,068,079 to Hamery et al. ("Hamery")	
(the "'693 Patent")	Defendant's Statement 15	Plaintiff's Statement
Claim 1		
Summary:		Defendant Moldex contends that Hamery is invalidating prior art to the '693 Patent.
		Plaintiff 3M denies that Hamery is statutory prior art to the '693 Patent under 35 U.S.C. 102 and demands that Moldex withdraw these disclosures.
		The '693 Patent has priority to at least December 18, 1997. Hamery was issued on May 30, 2000 and therefore does not qualify as statutory prior art under 35 U.S.C. 102(b). In addition, Moldex has not made any contentions that would qualify Hamery as prior art under any other section of 35 U.S.C. 102. Therefore, Hamery is not prior art to the '693 Patent and Moldex is precluded from relying on Hamery to support its invalidity contentions. Furthermore, 3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of
A hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the	To the extent the preamble is limiting, Hamery discloses a hearing protector for selectively or automatically reducing noises	claim 1. 3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates the preamble.
hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	having intensities up to 190 dB, for example as described below: "One of the problems to be solved for those in the military is how to communicate between themselves, to detect, and localize and to identify the sources of exterior noises, all the while protecting their hearing	3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to

¹⁴ Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
15 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Asserted Claims of Exhibit G ¹⁴ U.S. Patent No. 6,070,693 US 6,068,079 to Hamery et al. ("Hamery")		
(the "'693 Patent")	Defendant's Statement ¹⁵	Plaintiff's Statement
(ine 055 Tutent)	high level noise of weapons (up to 190 dB SPL.)" Col. 1:11-16. To the extent the preamble is limiting, Hamery further discloses a hearing protector being intended to be sealingly inserted into an auditory canal of a user: "The acoustic valve of the invention is designed to be inserted into a perforated ear plug preferably made of an elastic material in view of its placement in the external auditory canal of a	canal of a user and including all of the elements listed in subsequent limitations below. Additionally, 3M denies that Hamery is statutory prior art to the '693 Patent under 35 U.S.C. 102. See Summary above.
a cylindrical body having a center, a first end and a second end;	user." Col. 3:18-21. Hamery discloses a cylindrical body having a center, a first end and a second end, as described and depicted below:	3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	"Each piece 10, 11 is produced by molding a plastic material or epoxy resin and contains a disk 12, 12' forming one end of a hollow cylinder 14, 15 open at its other end and of which the perimeter edge 140, 150 contains a shouldering walls 141, 151. The two shouldering walls 141, 151 are complementary and opposite from each other in order to allow the assembly of the two pieces 10, 11 by interlocking and gluing. The rigid planar disks forming the ends of the cylinder are spaced axially opposite each other and are positionally fixed. Each disk 12, 12' has a diameter between 2 and 4mm, and contains at its center a circular orifice 16 of which the diameter is between 0.2 and 0.6 mm." Col. 2:28-61.	3M admits that Hamery includes a cylindrical body having a first end and a second end. Center (Inside Cylinder) Cylindrical Body Second End First End Cylindrical Body First End First End First End First End First End First End Cylindrical Body First End First End First End First End Cylindrical Body First End First End First End Cylindrical Body First End First End Cylindrical Body Cylindrical Body First End Cylindrical Body

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit G ¹⁴ US 6,068,079 to Hamery et al. ("Hamery")	
(the "'693 Patent")	Defendant's Statement 15	Plaintiff's Statement
	Center (Inaide Cylinder) Cylindrical Body Second End First End Fig. 2 Center First End Center Cylindrical Body Center However, 3M denies that Hamery includes a cylindrical body having a center as taught by the '693 Patent, which must be located between the first and second acoustic filters. As Hamery has only one filter (see limitation below), it does not have such a center. Additionally, 3M denies that Hamery is statutory prior art to the '693 Patent under 35 U.S.C. 102. See Summary above.	
a channel extending from said first and second ends to said center of said cylindrical body; and	FIG. 3Q Second End FIG. 3b Secon	3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
	FIG. 2 20 21 21 Cylindrical Body FIG. 2	3M admits that Hamery includes a channel extending between the first and second ends of the cylindrical body.

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Asserted Claims of U.S. Patent No. 6,070,693		
(the "'693 Patent")	Defendant's Statement ¹⁵	Plaintiff's Statement
		A Channel Extending From Said First and Second Ends to Said Center of Said Cylindrical Body
		150 141 FIG. 2
		FIG. 3a FIG. 3b [Figure from Defendant's Prior Art Statement (annotations added).]
		However, 3M denies that Hamery includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that Hamery includes a channel extending from the first and second ends to the center of the cylindrical body.
		Additionally, 3M denies that Hamery is statutory prior art to the '693 Patent under 35 U.S.C. 102. See Summary above.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in	Hamery discloses that the channel contained a first acoustic filter and a second acoustic filter, as shown and described below:	3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
communication with one of said first and second ends.	"In the preferred embodiment of the invention, the acoustic valve is made up of two hollow cylindrical parts each closed at one of their ends by a disk containing a central orifice, and open at their other end, the	3M admits that Hamery includes a channel containing a first acoustic filter, the first filter being in communication with at least one of the first and second ends.
	peripheral edge of which contains a	

1-JNE-FLN Document 47-1 Filed 02/15/13 Page 200 of 226 Art Statement U.S. Patent No. 6,070,693

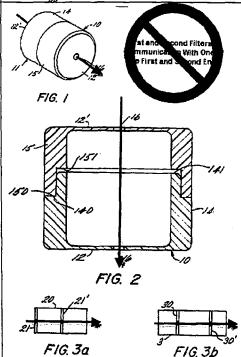
Asserted Claims of U.S. Patent No. 6,070,693 (the "'693 Patent")

Exhibit G14 US 6,068,079 to Hamery et al. ("Hamery")

Plaintiff's Statement

Defendant's Statement 15

shouldering wall allowing the assembly of two parts by the complementary open ends, attached by a means of fixation." Col. 2:10-17; "In one refers to FIGS. 1 and 2, one can see that in a first method of production, the acoustic valve of the invention presents a cylindrical form and comprises two tubular pieces 10 and 11 roughly of the same dimensions. Each piece 10, 11 is produced by molding a plastic material or epoxy resin and contains a disk 12, 12' forming one end of a hollow cylinder 14, 15 open at its other end and of which the perimeter edge 140, 150 contains a shouldering wall 141, 151. The two shouldering walls 141, 151 are complementary and opposite from each other in order to allow the assembly of the two pieces 10, 11 by interlocking and gluing. The rigid planar disks forming the ends of the cylinder are spaced axially opposite each other and are positionally fixed. Each disk 12, 12' has a diameter between 2 and 4mm, and contains at its center a circular orifice 16 of which the diameter is between 0.2 and 0.6 mm." Col. 2:44-61; "In the preferred embodiment of the invention, the acoustic valve is made up of two hollow cylindrical parts each closed at one of their ends by a disk containing a central orifice, and open at their other end, the peripheral edge of which contains a shouldering wall allowing the assembly of two parts by the complementary open ends, attached by a means of fixation." Col. 2:10-17; "If one refers now to FIG. 3a, one can see that in a second embodiment of the invention, the valve is made up of a tube enclosing



[Figure from Defendant's Prior Art Statement (annotations added).]

However, 3M denies that Hamery includes a channel containing a first acoustic filter and a second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. Hamery contains only one acoustic filter as taught by the '693 patent. Moldex has not shown separate first and second acoustic filters.

3M further denies that Hamery includes "said channel." See limitation above.

Additionally, 3M denies that Hamery is statutory prior art to the '693 Patent under 35 U.S.C. 102. See Summary above.

two disks 21 and 21', pierced at

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Asserted Claims of	1	hit G ¹⁴
U.S. Patent No. 6,070,693 (the "'693 Patent")	Defendant's Statement ¹⁵	nery et al. ("Hamery") Plaintiff's Statement
(me vzs ruem)	their centers and roughly of the same diameter as the internal diameter of the tube 20, of which one disk 21 is positioned. at one of the ends of the tube 20 and the other disk 21' is set back from the opposite end. If one refers to FIG. 3b, one can see that, in a third embodiment, the acoustic valve comprises a tube 3 enclosing two disks 30 and 30' pierced at their centers and each set back from one end of the tube 3." Col. 3-14 (emphasis added).	1 tuntiff 5 Statement
	Hamery discloses that each of the first and second filters are in communication with one of the first and second ends, as depicted below: First and Second Filters in Communication With One of the First and Second Ends	
	FIG. 2	
Claim 17	FIG. 3a FIG. 3b	

The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.

Hamery discloses that the acoustic filters permit non-linear filtration of sound: "Acoustic valve capable of selective and non-linear filtering of sound and placeable in a perforated ear plug. The acoustic valve consists of a tube enclosing two rigid disks

3M denies that Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above.

Therefore, Hamery does not qualify

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit G ¹⁴ US 6,068,079 to Hamery et al. ("Hamery")	
(the "'693 Patent")	Defendant's Statement ¹⁵	Plaintiff's Statement
	axially spaced opposite each other, each of the disks containing at least one perforation. The total perforated	under 35 U.S.C. 102 as invalidating prior art for claim 17.
	surface of at least one disk is	Furthermore, 3M denies that
	between 0.03 and 0.5 mm2." Abstract. Emphasis added.	Hamery includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Hamery does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.
		Additionally, 3M denies that Hamery is statutory prior art to the
		'693 Patent under 35 U.S.C. 102. See Summary for Claim 1 above.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit H ¹⁶ US 2,437,490 to Watson et al. ("Watson")	
(the "'693 Patent")	Defendant's Statement ¹⁷	Plaintiff's Statement
Claim 1		
Summary: A hearing protector for	To the extent the preamble is	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Watson does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that Watson includes,
selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being	limiting, Watson discloses a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB—	discloses, teaches, discusses, identifies, suggests, or anticipates the preamble.
intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	specifically a hearing protector for preventing injury when there are sudden and/or substantial changes in air pressure— as described below: Another and important object of this invention is to provide ear defenders of the character referred to that may embody novel means for automatically equalizing the air pressure in the auditory canal between the ear defender ant the ear drum and the pressure at the exterior of the ear defender to prevent injury to the ear drum in situations where the user is subjected to sudden and/or substantial changes in air pressure. Col. 1:6-14.	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB. See, e.g., Watson at Col. 1:25-28. The cite provided by Moldex (Col. 1:6-14) relates to sudden air pressure changes, not to noise intensity. Additionally, 3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.
	To the extent the preamble is limiting, Watson further discloses a hearing protector being intended to be sealingly inserted into an auditory canal of a user, as described below: The embodiment of our invention illustrated in Figs. 1 and 2 of the drawings may	

Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

CASE 0:14-cv-01821-JNE-KMM Doc. 37-9 Filed 07/30/14 Page 47 of 69 CASE 0:12-cv-00611-yNE-FLN Document 47-1 Filed 02/15/13 Page 204 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit H ¹⁶ US 2,437,490 to Watson et al. ("Watson")	
(the "'693 Patent")	Defendant's Statement 17	Plaintiff's Statement
(the "'693 Patent")		
a cylindrical body having a center, a first end and a second end;	Col. 2:13-21. Watson discloses a cylindrical body having a center, a first end and a second end, as depicted below:	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
·	Second End Second	Fig. 3 Second End First End 23 30 23 11 19 19 10 10 16 16 16 16 16 16 16 16 16 16 16 16 16
	¹ In fact Watson is in portions a non-cylindrical tapered cone. However, as 3M's erroneous contentionts read this limitaiton on the BattlePlug which is also a non-cylindrical tapered cone, under 3M's erroneous construction, the limitation is met by Watson.	[Figure from Defendant's Prior Art Statement (annotations added).] 3M denies that Watson includes a cylindrical body. The shape shown in Fig. 3 is clearly not cylindrical.
a channel extending from said first and second ends to said center of said cylindrical body; and	Watson discloses a channel extending from the first and second ends to the center of the cylindrical body as depicted below:	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit H ¹⁶ US 2,437,490 to Watson et al. ("Watson")	
(the "'693 Patent")	Defendant's Statement 17	Plaintiff's Statement
(iii)	Fig. 3	FIG. 3
	Second End 6° 7° 3° 3° 3° 3° 3° 3° 3	Second End First End First End Charge Charge 11° 19° 10° -18°
		[Figure from Defendant's Prior Art Statement (annotations added).]
		3M denies that Watson includes a cylindrical body. See limitation above. Therefore, 3M denies that Watson includes a channel extending from the first and second ends to the center of the cylindrical body.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in	Watson discloses a channel containing a first acoustic filter and a second acoustic filter, as described and depicted below:	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
communication with one of said first and second ends.	"The insert 19 packed with the cotton or material 24 and having the small orifice 23 provides for the equalization of 75 the air pressure in the inner and outer sides of the defender without a too serious loss in acoustical insulation. The closely packed material 24 defines a multitude of small air passages or pores and forms an adequate acoustical insulation while permitting the automatic equalization of the air pressure by air flow through the orifice or opening 23." Col. 4:72—Col. 5:7 (emphasis added); "The air pressure equalizing unit 30 may be identical with the means 12 above described, comprising a tubular or chambered rigid insert 19a packed with cotton or similar material 24a and provided at its inner end with a small opening 23a. The outer partition 32	3M denies that Watson includes "said channel." See limitation above.

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Asserted Claims of U.S. Patent No. 6.070.693	Exhibit H ¹⁶ US 2,437,490 to Watson et al. ("Watson")	
(the "'693 Patent")	Defendant's Statement ¹⁷	,
U.S. Patent No. 6,070,693	US 2,437,490 to Wat	
	Watson further discloses that each of the first and second filters being in communication with one of the first and second ends, as depicted below:	

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/15/13 Page 207 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit H ¹⁶ US 2,437,490 to Watson et al. ("Watson")	
(the "'693 Patent")	Defendant's Statement ¹⁷	Plaintiff's Statement
	Second Accounts First Accounts First Accounts Filter First End Second End Second End Second Filter Second Filter Second Filter Being In communication With One of Said First and Second Enda	
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Watson inherently discloses that the acoustic filters permit non-linear filtration of sound through its disclosure of a succession of constricted channels in a hearing protector, as depicted below: F7.6. 3	3M denies that Watson includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Watson does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17.

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 208 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
Claim 1		
Summary: A hearing protector for	To the extent the preamble is	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Ochi does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that Ochi includes,
selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being	limiting, Ochi discloses a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the	discloses, teaches, discusses, identifies, suggests, or anticipates the preamble.
intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	hearing protector being intended to be sealingly inserted into an auditory canal of a user, as described and depicted below:	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises
	"A sound attenuation device is disclosed which is capable of simultaneously attenuating sound waves of both high and low frequencies bands while leaving other frequencies undisturbed." Abstract (emphasis added).	having intensities up to 190 dB. The cite provided by Moldex (Col. 4:26-51) only provides for a 35dB reduction at 110dB. Additionally, 3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or
	"The proximal member of the preferred embodiment further includes a means of securing the position of the device and ensuring close contact of the proximal member to the external auditory meatus of the ear (not shown) and a means of limiting direct invasion of environmental sound waves into the	anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.
	ear. As illustrated in the drawings, such may be accomplished by placing umbrella the shaped flanges 56, 58 and 60 arranged in parallel about the major axis of proximal member 12." Col. 3:25-33 (emphasis added).	

¹⁸ Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
19 From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/15/13 Page 209 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	US 4,540,063 to C	bit I ¹⁸ Ichi et al. ("Ochi")
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
	"This process of scattering and	
	merging with intermittent absorption	
	and attentuation of sound waves	
	overcomes the disadvantage of the	
	prior sound wave attenuation	
	devices in that attenuation of sound	
4	wave frequencies in both high and	
	low frequencies is simultaneously	
	accomplished. FIG. 6 illustrates the	
	correlation between noise level	
	[dB(C)] and sound wave	
	frequency [Hz] on clay shooting.	
	As illustrated, the instaneous impact	
	of sound created by clay shooting is	
	comprised of sound wave	
	frequencies ranging from low	
	frequency bands through high	
	frequency bands in the	
	neighborhood of 10,000 Hz.	
	Effective use of prior devices would	
	require a user to change	
	conventional sound wave attenuation	
	units to correspond to their	
	respective frequency bands. Tests	
	exploring the effectiveness of the	
	device of the present invention on	
	clay shooting frequencies using an	
	ordinary audiometer demonstrated	
	that an effective attenuation of	
	approximately 35 dB was achieved	
	on the central frequency of 4000 Hz	
•	at 110 dB. Thus, even if the device	
	of this invention is used under the	
	most severe conditions, as in the	
	case of clay shooting, the device	
	operates such as to attentuate the	
	1 -	
	disturbing sounds to become	
	audible and thereby allows a user	
	to go about his daily life without	
	danger or anxiety even on noisy construction sites and the like."	,
	Col. 4:26-51 (emphasis added).	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
	52 64 54 50 62 64 64 64 64 64 64 64	
a cylindrical body having a center, a first end and a second end;	So to 220 500 600 2500 5000 fines Fig. 6. Ochi discloses a cylindrical body having a center, a first end and a second end, as depicted below:	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates
	Second Filter Second Filter 52 6	this limitation. 3M admits that Ochi includes a cylindrical body having a first end and a second end.
	38,40 42 Cylindrical First End First Body Filter	

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
		Second 52 16 Fifth 54 54 54 54 550 30 62 64 64 60 32 22 48 20 38,40 42 54 28 First End Body Fill Fill Fill Fill Fill Fill Fill Fil
a channel extending from said first and second ends to said center of said cylindrical body; and	Ochi discloses a channel extending from said first and second ends to said center of said cylindrical body:	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. 3M admits that Ochi includes a channel extending between the first and second ends of the cylindrical body.

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 212 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
	52 6 54 50 30 62 64 64 64 48 20 14 38,40 42 34 28 8 FIG. 2	FIG. 2 [Figure from Defendant's Prior Art Statement (annotations added).] However, 3M denies that Ochi includes a cylindrical body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that Ochi includes a channel extending from the first and second ends to the center of the cylindrical body.
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second	Ochi discloses a channel containing a first acoustic filter and a second acoustic filter, for example as depicted below:	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation.
filters being in communication with one of said first and second ends.	depicted below.	uns ilmitation.

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Exhibit I¹⁸ Asserted Claims of U.S. Patent No. 6,070,693 US 4,540,063 to Ochi et al. ("Ochi") Defendant's Statement 19 (the "'693 Patent") Plaintiff's Statement Second **Filter** Second Second. End End 12 12. Cente Cente 60 48 20 Cylindrical Cylindrical **Body** Body Filter First End Ouchi further discloses that each of [Figure from Defendant's Prior Art the first and second filters being in Statement (annotations added).] communication with one of the first and second ends, for example as 3M denies that Ochi includes a depicted below: channel containing a first acoustic filter and a second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. Ochi contains only one acoustic filter as taught by the '693 patent. 12 The "First Filter," as labeled by Moldex, is not a filter as taught by 32 the '693 Patent. Ochi describes this as an "inlet aperture" (see Ochi at Col. 2:40) and not a filter. The "Second Filter," as labeled by Moldex, is also not a filter as taught by the '693 Patent. Ochi describes this as a "distal sound wave passageway" (see Ochi at Col. 2:42) and not a filter. FIG. 3M further denies that Ochi includes "said channel." See limitation above. Claim 3 Ouchi discloses a hearing protector The hearing protector 3M denies that Ochi includes, according to claim 1, wherein the first and second acoustic discloses, teaches, discusses, wherein said first and second filters are not identical, as depicted identifies, suggests, or anticipates

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
acoustic filters are not identical.	below:	claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Ochi does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3.
		Furthermore, 3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefor Ochi does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
Claim 17		
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Ochi inherently discloses acoustic filters permitting non-linear filtration of sound by virtue of its disclosure of multiple channel constrictions. See also, e.g., Col. 4:26-51: "This process of scattering and merging with intermittent absorption and attentuation of sound waves overcomes the disadvantage of the prior sound wave attenuation devices in that attenuation of sound wave frequencies is simultaneously accomplished. FIG. 6 illustrates the correlation between noise level [dB(C)1 and sound wave frequency [Hz] on clay shooting. As illustrated, the instaneous impact of sound created by clay shooting is comprised of sound wave frequency bands through high frequency bands in the neighborhood of 10,000 Hz. Effective use of prior devices would require a user to change	3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim for at least the reasons stated in connection with claim 1 above. Therefore, Ochi does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17. Furthermore, 3M denies that Ochi includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" or claim 1. Therefore, Ochi does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of soun

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit I ¹⁸ US 4,540,063 to Ochi et al. ("Ochi")	
(the "'693 Patent")	Defendant's Statement 19	Plaintiff's Statement
	respective frequency bands. Tests	
	exploring the effectiveness of the	
	device of the present invention on	
	clay shooting frequencies using an	
	ordinary audiometer demonstrated	
	that an effective attenuation of	
	approximately 35 dB was achieved	
	on the central frequency of 4000 Hz	
	at 110 dB. Thus, even if the device	
	of this invention is used under the	
	most severe conditions, as in the	
	case of clay shooting, the device	
	operates such as to attentuate the	
	disturbing sounds to become	
	audible and thereby allows a user	
	to go about his daily life without	
	danger or anxiety even on noisy	
	construction sites and the like.";	
	Fig. 6:	
	110	
	110	
	100	<i>.</i>
	(dB)	
	90	
	25 50 100 250 500 1000 2500 5000 10000	
	Fig6.	

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U.S. Patent No. 6,070,693 (the "'693 Patent")	US 2,881,759 to Ho Defendant's Statement ²¹	
Claim 1	Dejenduni s Sidiemeni	Plaintiff's Statement
Summary: A hearing protector for	To the extent the preamble is	3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Hocks does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1. 3M denies that Hocks includes,
selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	limiting, Hocks discloses a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user, as described and depicted below: "Another object of the invention is to provide an improved ear protector comprising soft rubber or soft rubber-like material of a shape corresponding to the individual wearer's ear for effectively sealing the ear drum from the outside of the ear, and, at the same time, being comfortable to wear. Another object is to provide an ear protector having improved means for controlling the transmission of sound• to the ear drum." Col 1:41-59.	discloses, teaches, discusses, identifies, suggests, or anticipates the preamble. 3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates a hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user and including all of the elements listed in subsequent limitations below.
a cylindrical body having a center, a first end and a	Hocks discloses a cylindrical body having a center, a first end and a	3M denies that Hocks includes, discloses, teaches, discusses,

²⁰ Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
²¹ From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Asserted Claims of		bit J ²⁰
U.S. Patent No. 6,070,693		ocks et al. ("Hocks")
(the "'693 Patent")	Defendant's Statement ²¹	Plaintiff's Statement
second end;	second end, as depicted below: Trig_1 Cylindrical Body First End Second End 30 22 30 First End Second End 33 31	identifies, suggests, or anticipates this limitation. Second End Second End Second End Jacob Second End Second End Jacob Second End
	¹ In fact Hocks discloses a partially non-cylindrical portion. However, as 3M's erroneous contentionts read	[Figure from Defendant's Prior Art Statement (annotations added).]
	this limitation on the BattlePlug which is also a non-cylindrical tapered cone, under 3M's erroneous construction, the limitation is met by	3M denies that Hocks includes a cylindrical body. The shape shown in Figs. 1/2 is clearly not cylindrical.
	Hocks.	Furthermore, 3M denies that Hocks includes a body having a center as taught by the '693 Patent, which must be located between the first and second acoustic filters. As Hocks does not have the first and second acoustic filters (see limitation below), it does not have such a center.
a channel extending from	Hocks discloses a channel extending	3M denies that Hocks includes,
said first and second ends to	from the first and second ends to the	discloses, teaches, discusses,
said center of said cylindrical	1	identifies, suggests, or anticipates
body; and	depicted below:	this limitation.
	Fig. 1 2 33 10 22 30 30 10 12 30 30 30 30 30 30	Fig_1 Fig_1 Figure from Defendant's Prior Art Statement (annotations added).]
		3M denies that Hocks includes a cylindrical body. See limitation above.

CASE 0:12-cy-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 218 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693		bit J ²⁰ ocks et al. ("Hocks")
(the "'693 Patent")	Defendant's Statement ²¹	Plaintiff's Statement
		Furthermore, 3M denies that Hocks includes a body having a center as taught by the '693 Patent. See limitation above. Therefore, 3M denies that Hocks includes a channel extending from the first and second ends to the
said channel containing a first acoustic filter and a second acoustic filter, each of said first and second filters being in communication with one of said first and second ends.	Hocks discloses a channel containing a first acoustic filter and a second acoustic filter, for example the channel constrictions as depicted below: **Tig-1** Second Accoustic Filter Filter 10 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	center of the cylindrical body. 3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation. Fig. 1 Coord Took and the Filter of the first and second acoustic filter, each of the first and second filters being in communication with one of the first and second ends. Hocks contains only one acoustic filter as taught by the '693 patent. The "First Acoustic Filter," as labeled by Moldex, is not a filter as taught by the '693 Patent. Hocks describes this as a "duct or passageway" (see Hocks at Col. 2:53) and not a filter. The "Second Acoustic Filter," as labeled by Moldex, is also not a filter as taught by the '693 Patent. Hocks describes the '693 Patent. Hocks de

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 219 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of Exhibit J ²⁰ U.S. Patent No. 6,070,693 US 2,881,759 to Hocks et al. ("Hocks")		
(the "'693 Patent")	Defendant's Statement ²¹	Plaintiff's Statement
(ino oso I were)	Defendant & Brazemen	2:65) and not a filter.
		3M further denies that Hocks includes "said channel." <i>See</i> limitation above.
Claim 3		
The hearing protector according to claim 1, wherein said first and second acoustic filters are not identical.	Hocks discloses the first and second acoustic filters are not identical, for example as depicted below Fig. 1 Second Accoustic Filter 10 10 10 10 10 10 10 10 10 1	3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Hocks does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3. Furthermore, 3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore Hocks does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.
Claim 17		not recition.
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Hocks inherently discloses non- linear filtration of sound through its disclosure of multiple channel constrictions in a hearing protection device, as depicted above.	3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Hocks does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17.
		Furthermore, 3M denies that Hocks includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Hocks does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/19/13 Page 220 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

Asserted Claims of U.S. Patent No. 6,070,693	Exhibit J ²⁰ US 2,881,759 to Hocks et al. ("Hocks")	
(the "'693 Patent")	Defendant's Statement ²¹	Plaintiff's Statement
		permit non-linear filtration of sound.
		Moreover, 3M denies that Hocks inherently discloses non-linear filtration of sound through its disclosure of multiple channel constrictions in a hearing protection device, as contended by Moldex.

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CASE 0:12-cv-00611-JNE-FLN Document 47-1 Filed 02/15/13 Page 221 of 226 Exhibit A—Plaintiff's Prior Art Statement U.S. Patent No. 6,070,693

U.S. Patent No. 6,070,693	DE 4217043 to Dancer et al. ("Dancer DE")		
(the "'693 Patent")	Defendant's Statement ²³	Plaintiff's Statement	
Claim 1			
Summary:		3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates all of the limitations of claim 1. Therefore, Dancer DE does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 1.	
A hearing protector for selectively or automatically reducing noises having intensities up to 190 dB, the hearing protector being intended to be sealingly inserted into an auditory canal of a user, the hearing protector comprising:	To the extent the preamble is limiting, Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and as depicted below:	3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates the preamble for the same reasons set forth in Plaintiff's Statement for Exhibit A above.	
a cylindrical body having a center, a first end and a second end;	Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and as depicted below:	3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation for the same reasons set forth in Plaintiff's Statement for Exhibit A above.	
a channel extending from said first and second ends to said center of said cylindrical body; and	Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and as depicted below:	3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates this limitation for the same reasons set forth in Plaintiff's Statement for Exhibit A above.	
said channel containing a first acoustic filter and a second acoustic filter, each	Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and as depicted	3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates	

²² Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.
²³ From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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Exhibit K²² Asserted Claims of U.S. Patent No. 6,070,693 DE 4217043 to Dancer et al. ("Dancer DE") Defendant's Statement²³ Plaintiff's Statement (the "'693 Patent") of said first and second below: this limitation for the same reasons filters being in set forth in Plaintiff's Statement for communication with one of Exhibit A above. said first and second ends. FIG_3 FIG.4

Claim 3

The hearing protector according to claim 1, wherein said first and second acoustic filters are not identical.

Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and as depicted below:

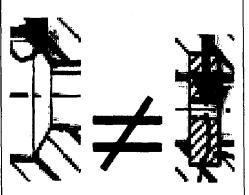


Fig. 3 excerpts, EN showing that first and second acoustical filters are not identical.

3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 3, which depends on claim 1, for at least the reasons stated in connection with claim 1 above. Therefore, Dancer DE does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 3.

Furthermore, 3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates the first and second acoustic filters of claim 1. Therefore, Dancer DE does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein the first and second acoustic filters are not identical.

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Asserted Claims of U.S. Patent No. 6,070,693	Exhibit K ²² DE 4217043 to Dancer et al. ("Dancer DE")		
(the "'693 Patent")	DE 4217045 to Dance Defendant's Statement ²³	Plaintiff's Statement	
	主士		
	Fig. 4 excerpts, showing that first and second acoustical filters are not identical, under any alternative discussed above.		
Claim 17			
The hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound.	Dancer DE discloses these limitations for the same reasons set forth in Exhibit A and because the disclosure of multiple constrictions in a hearing protection device inherently discloses this limitation.	3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates claim 17, which depends on claim 1 for at least the reasons stated in connection with claim 1 above. Therefore, Dancer DE does not qualify under 35 U.S.C. 102 as invalidating prior art for claim 17. Furthermore, 3M denies that Dancer DE includes, discloses, teaches, discusses, identifies, suggests, or anticipates "said acoustic filters" of claim 1. Therefore, Dancer DE does not include, disclose, teach, discuss, identify, suggest, or anticipate a hearing protector according to claim 1, wherein said acoustic filters permit non-linear filtration of sound	
	•	Moreover, 3M denies that Dancer DE inherently discloses non-linear filtration of sound because the disclosure of multiple constrictions in a hearing protection device inherently discloses this limitation, as contended by Moldex.	

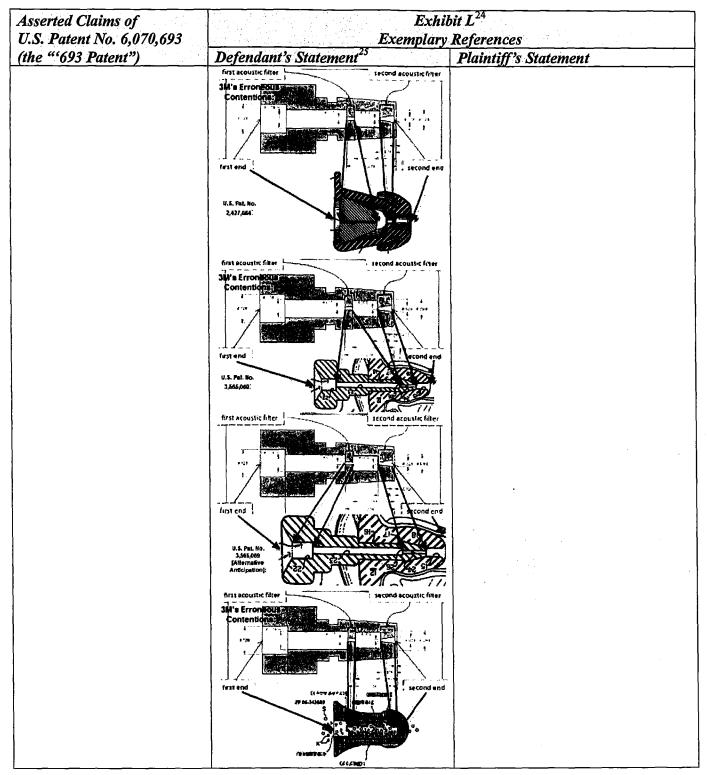
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Exhibit L²⁴ Asserted Claims of U.S. Patent No. 6,070,693 **Exemplary References** (the "'693 Patent") Defendant's Statement Plaintiff's Statement Miscellaneous 3M denies that the Exemplary References includes, discloses, teaches, discusses, identifies, suggests, or anticipates any of claims 1, 3, or 17 for at least the reasons stated in connection with claims 1, 3, and 17 above for the associated cited alleged prior art. FR2676642: first end U.S. Pat. No. 2,717,596:

²⁴ Exhibit numbers listed herein reflect those from from Defendant's Prior Art Statement.

²⁵ From Defendant's Prior Art Statement. Footnotes from original are included at end of each limitation. Errors in original have not been corrected or flagged.

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